

➔ WHILE TALKING TO MY BROKER:

Date: _____ Time: _____ Call made Call received Meeting

Broker's Name: _____ Telephone number: _____

Broker's Firm: _____

Investment Recommendation: _____

Buy: Sell: Name of security: _____

REASON FOR BROKER'S RECOMMENDATION:

➔ How does this investment meet my financial goals?

➔ What are the risks associated with this investment?

PROPOSED TRADE:

Number of shares/units: _____ Price per share: \$ _____ Total cost: \$ _____

Agent's Commission: _____

MY INSTRUCTIONS:

Do nothing: Buy: Sell: Number of shares/units: _____ Price per share: \$ _____

I ASKED FOR WRITTEN INFORMATION ABOUT THE INVESTMENT BEFORE I MADE A DECISION. Yes: No:

BEFORE INVESTING, I WILL RECEIVE A:

Prospectus: Offering Memorandum: Most recent annual report:
Most recent quarterly report: Research report: Other info:

